# FOUNDATION MEDICINE®

# TEST REQUISITION FORM & STATEMENT OF MEDICAL NECESSITY

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Email: client.services@foundationmedicine.com | Phone +1.888.988.3639 | Faxing may result in processing delays.

IF REQUIRED FIELDS MARKED WITH AN \* ASTERISK ARE NOT PROVIDED, TESTING MAY BE DELAYED.

1. PATIENT INFORM	ATION (*In	dicates a requ	uired field)										
*First Name (legal name)					MI (opt	tional)	*Last Name (i	egal name	e)				
							D 1444 (D 10						
*DOB (MM/DD/YYYY)			*Genetic Se	M	F	Medical	Record # (optional)				Primary Phon	10	
*Address					*City				*State	*	Postal Code		*Country
2. CURRENT DIAGNO	SIS & PAT	IENT HISTO	<b>RY</b> (*Indicat	es a require	d field)								
*Primary ICD-10 (C&D codes only, see sectio	n 10)	*Stage	*Dia		Breast Melanoma	NSCLC	Ovarian Prosta her:	ite Co	olorectal		ase status at Aetastatic		<i>lect all that apply):</i> Relapsed
				1	vielanoma					- F	tefractory	Unresectable	None of these options
*Date of Original Diagnosis (MM/DD/YYYY)	*Has the p prior trea	atient failed itments?	*Prior or Curre Targeted 1		s: No mmunothe		The patient is seeking Newly diagnosed					een tested by cine previously?	Yes No
	Yes	No	Chemothe		Combo The		Not responding to	-	/ 1 v )	*If ye	s above, has t	he disease progres	sed? Yes No
Attachments:									re any satisfactory a			*Has the patient r	eceived a transplant?
Copy of recent patholog		-							available for the pa genomic testing?	Yes	No	Yes No	
Results from other testin				gres (including	5 ER, PK, HI	lrz, EGH	N, ΝΝΑΟ, ΘΙC.J						
3. BILLING INFORMA *Bill Type	TION (*Inc	licates a requ	nred field)										
Medicare - Part B		attached uired	*Medicare Po	licy ID		Status a		l Inpatien	t (provide discharge	date to righ	t)		Discharge Date ( <i>MM/DD/YYYY</i> )
	(see page	e 3 for criteria)				dicare pa	itients): Hospita	l Outpatie	ent Office (Nor	I-Hospital)	Not yet	t discharged	
Insurance or Medicare Advantag	*Plan Nai	me				*Polic	y #		Group # (optional	)	Prior Au	thorization # (opti	onal)
(attach copy of card) Self-Pay/Uninsured	*Is Self-P	ay contact info	the same as		*Contac	t name			*Phone		*Email		
		contact info ab		to right)									
Hospital/Institution Is hospital/institution bill info address that will be provided I			e as facility *Address					*City			*State	*Postal Code	
	Yes		ide address to rig	ght)									
4. TREATING PHYSIC	IAN INFO	RMATION (	*Indicates a r	equired field	d)								
*Treating Physician (full leg	al name)				*Facility N	Name					Foundat	ion Medicine Acco	unt # (optional)
*Facility Address						*(	City		*State	*Postal Cod	le	*Country	
-													
*Email									*Phone			Fax (optional	)
Additional Physician to be Copied (optional) Faci		al) Facility	y Name (optiond	optional) Email (prefe			ferred)		Phone (optional)			Fax (optional	)
*Is the facility a hospital, ho *If yes, what is the facility's					imbulator <u>:</u> i-network	, ,		Yes Inknown	No				
5. TEST SELECTION & *Genomic Test/Test Combine		Accepted Spec			quired fie en Procure		thod		*Additional Option	ıs (see secti	on 10 for addi	itional information (	on reflex testina)
FoundationOne®CDx FFPE TISSUE FoundationOne®CDx (for optimal pro send tissue block + FoundationOne®RNA			Physician Procure block/Unstained s block/Unstained s			Procurement: Physician will arrange FFPE			If tissue submitted does not meet the criteria for successful FoundationOne CE testing. <b>reflex</b> to FoundationOne Liquid CDx.				
						a slides specimen snipment nt: Requesting Foundation Medicine rvices (please fill out section 6)		→ Check One: Physician will arrange blood specimen collection					
+ FoundationOn FoundationOne®L		PERIPHERAL					, ,	-		le submitte	ed does not n		e phlebotomy services r successful testing,
		WHOLE BLO		spec	imen colle	ection	Physician will arrang		reflex to Four → Check One	ndationOne	°CDx.		specimen shipment
					Procureme ile phlebot		uesting Foundation Me vices	aicine		Requ		dation Medicine pr	ocurement services
FoundationOne®H	leme	PERIPHERAL	WHOLE IE MARROW	-			Physician will arrang					ndergoing other N	GS testing?
		ASPIRATE, C	R FFPE TISSUE	t (bloc	od), or pro	curemen	uesting Foundation Me at services (please fill o	dicine mo out section	bile phlebotomy n 6)	Yes	No		
Add on testing (optional) PD-L1 IHC Testing		Accepted Spec			preferred t dering mu		ts, please ensure that a	in FFPF blo	ock or unstained slid	es are prov	ided (see sner	cimen instructions)	
		(for optimal p	rocessing please							p. ov			
r l		send tissue blo	ock)				FMI procurement service		* VERVOV*)	P1/10 /TC	CENTRIO®)	SD363 /TECT	NTRIO® LIRTAVO®)
				22C3	3 (KEYTR	RUDA®, L	IBTAYO <sup>®</sup> ) 28-8	OPDIVO			CENTRIQ®)		'NTRIQ <sup>®</sup> , LIBTAYO <sup>®</sup> )
Additional IHC Tes	sting	FFPE TISSUE	rocessing please	22C3 When or (please fi	3 (KEYTR	RUDA®, L Iltiple tes ion 6 for F	IBTAYO <sup>®</sup> ) 28-8 ( ts, please ensure that a MI procurement service	(OPDIVO In FFPE blo Is)		es are prov			NTRIQ <sup>®</sup> , LIBTAYO <sup>®</sup> )

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6. PATHOLOGY LABORATORY & PROCU	REMENT SI	ERVICES (*Indic	ates a required fie	d if applicable to test order)			
*Pathology Lab Name				Submitting Pathologist Name (optional)			
*Phone	Email (prej	ferred)		Fax (if email	il not provided)		
*Specimen Retrieval Type Physician is requesti	ng a specific sp	oecimen (add specir	men details below)	Physician is requesting the Pathologist to choose specimen			
*Specimen ID	*Date of C	ollection (MM/DD/	YYYY)	*Specimen (biopsy) Site			
*Alternate Specimen ID	*Date of C	ollection (MM/DD/	YYYY)	*Alternate Specimen (biopsy) Site			
7. FFPE BLOCK RETURN INFORMATION (*Indicates a required field if applicable to t	est order)			8. RELEVANT CLINICAL HISTORY (All Required For Medical Coverage Determination)			
*Return Address				a. Is a tissue specimen from a recent procedure available?		Yes	No
*City	*State	*Postal Code	*Country	b. Tissue specimen is insufficient for testing or tissue testing result	ted as a	Yes	No

# 9. FDA COMPANION DIAGNOSTIC INDICATIONS<sup>1</sup> FOR FOUNDATIONONE CDX AND FOUNDATIONONE LIQUID CDX\* (\*Required Section: Select or write in indication for testing)

Fax (optional)

Quantity Not Sufficient (QNS)

c. Is the requested test assessing for tumor mutation burden (TMB) to identify if the patient is a candidate for checkpoint inhibitor immunotherapy?

TUMOR TYPES	BIOMARKERS <sup>2</sup> (See complete gene list on our website)	FDA-APPROVED THERAPY3 Last Updated 10/25/2023, please use "If other" box below to include additional					
	TMB ≥ 10 mutations per megabase	Keytruda® (pembrolizumab)					
Solid tumors	NTRK1/2/3 fusions	Vitrakvi® (larotrectinib) or Rozlytrek® (entrectinib)					
Solid tumors	MSI-H	Keytruda® (pembrolizumab)					
	RET	Retevmo (selpercatinib)					
	EGFR exon 19 deletions and EGFR exon 21 L858R alterations	EGFR Tyrosine Kinase Inhibitors (TKI) approved by FDA <sup>1</sup>					
	EGFR exon 20 T790M alterations	Tagrisso® (osimertinib)					
	ALK rearrangements	Alecensa®(alectinib), Alunbrig® (brigatinib), Xalkori® (crizotinib), or Zykadia® (ceritinib)					
Non-Small Cell Lung Cancer	MET single nucleotide variants (SNVs) and indels that lead to MET exon 14 skipping	Tabrecta® (capmatinib)					
(NSCLC)	BRAF V600E	Tafinlar® (dabrafenib) in combination with Mekinist® (trametinib) or BRAFTOVI® (encorafenib) in combination with MEKTOVI® (binimetinib)					
	EGFR exon 20 insertion mutations	EXKIVITY® (mobocertinib)					
	ROS1 fusions	Rozlytrek® (entrectinib)					
	BRAF V600E	BRAF Inhibitors approved by FDA*					
Melanoma	BRAF V600E and V600K	Mekinist® (trametinib) or BRAF/MEK Inhibitor Combinations approved by FDA1					
	BRAF V600 mutation-positive	Tecentriq® (atezolizumab) in combination with Cotellic® (cobimetinib) and Zelboraf® (vemurafenib)					
	ERBB2 (HER2) amplification	Herceptin® (trastuzumab), Kadcyla® (ado-trastuzumab-emtansine), or Perjeta® (pertuzumab)					
Breast Cancer	PIK3CA C420R, E542K, E545A, E545D [1635G>T only], E545G, E545K, Q546E, Q546R, H1047L, H1047R, and H1047Y alterations	Piqray® (alpelisib)					
	KRAS wild-type (absence of mutations in codons 12 and 13)	Erbitux® (cetuximab)					
Colorectal Cancer	KRAS wild-type (absence of mutations in exons 2, 3 and 4) and NRAS wild-type (absence of mutations in exons 2, 3 and 4)	Vectibix® (panitumumab)					
	BRAF V600E	BRAFTOVI® (encorafenib) in combination with cetuximab					
Ovarian Cancer	BRCA1/2 alterations	Lynparza® (olaparib)					
Cholangiocarcinoma	FGFR2 fusions and select rearrangements	Pemazyre™ (pemigatinib) or Truseltiq™ (infigratinib)					
Prostate Cancer	Homologous Recombination Repair (HRR) gene (BRCA1, BRCA2, ATM, BARD1, BRIP1, CDK12, CHEK1, CHEK2, FANCL, PALB2, RAD51B, RAD51C, RAD51D and RAD54L) alterations	Lynparza® (olaparib)					
	BRCA1/2 alterations	Rubraca® (rucaparib) or AKEEGA® (niraparib and abiraterone acetate dual action tablet)					
If other indications for testing a	apply, please indicate here:						

### **10. OTHER INFORMATION**

Email (preferred)

For information on ICD codes, visit this website: https://icd10cmtool.cdc.gov/

#### PORTFOLIO REFLEX OPTION:

f the reflex option is selected, we will proceed with the initial NGS test selected and if the specimen does not meet the criteria for successful testing, we will automatically reflex to the other test (in Section 5) and procure a new specimen. The failed test is not billed, and the successful test will be billed according to our standard practices. Please see foundationmedicine.com/order for more information.

# 11. PHYSICIAN CERTIFICATION OF MEDICAL NECESSITY AND CONSENT (\*Indicates a required field)

Phone (optional)

My signature below certifies that (1) I am the patient's treating physician and am authorized under applicable law to order the tests on this test requisition, (2) each test ordered on this test requisition is medically necessary for the patient, (3) the patient has decided to seek further cancer treatment, (4) the results of each test will inform the patient's ongoing treatment plan, (5) I have explained to the patient' the nature and purpose of each test to be performed pursuant to this test requisition, and the patient' has had the opportunity to ask questions regarding each test and the collection, use, and disclosure of his/her samples and data, (6) I have obtained informed consent from the patient' using the consent form available at https://toundationmedicine.com/asset/patient-consent to have each test performed, including the collection, use, and disclosure of his/her samples and data, (ad) I have informed the patient' that he/she may receive a copy of the signed consent and have also included a signed copy in his/her medical record. I understand that Foundation Medicine may reach out to me to request a copy of the signed consent, in which case I will furnish Foundation Medicine a signed copy of the consent. \* (or the patient's legal guardian or representative)

*Treating Physician Signature	*Printed Full Name (Full legal name)	*Date (MM/DD/YYYY)

Notice for CA HCPs: Please review our privacy policy, available at https://www.foundationmedicine.com/california-privacy-notice, for more information about how we collect, use and disclose personal information about ordering physicians.

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Yes

No

### Visit Our Testing Portfolio Here: https://www.foundationmedicine.com/portfolio

## FOUNDATIONONE®CDx

FoundationOne®CDx is a qualitative next-generation sequencing based in vitro diagnostic test for advanced cancer patients with solid tumors and is for prescription use only. The test analyzes 324 genes as well as genomic signatures including microsatellite instability (MSI) and tumor mutational burden (TMB) and is a companion diagnostic to identify patients who may benefit from treatment with specific therapies in accordance with the approved therapeutic product labeling. Additional genomic findings may be reported and are not prescriptive or conclusive for labeled use of any specific therapeutic product. Use of the test does not guarantee a patient will be matched to a treatment. A negative result does not rule out the presence of an alteration. Some patients may require a biopsy. For the complete label, including companion diagnostic indications and important risk information, please visit www.F1CDxLabel.com

## FOUNDATIONONE®RNA

FoundationOne®RNA is a next generation sequencing (NGS) based assay for detection of gene fusions and rearrangements in a broad multigene panel using RNA isolated from formalin-fixed paraffin embedded (FFPE) solid tumor specimens. The assay is intended to provide tumor mutation profiling information for use by qualified healthcare professionals in accordance with professional guidelines in oncology. The assay is preformed exclusively at Foundation Medicine, Inc. FoundationOne RNA has not been cleared or approved by the United States Food and Drug Administration (FDA).

## FOUNDATIONONE® LIQUID CDx

FoundationOne®Liquid CDx is for prescription use only and is a qualitative next-generation sequencing based in vitro diagnostic test for advanced cancer patients with solid tumors. The test analyzes 324 genes utilizing circulating cell-free DNA and is FDA-approved to report short variants in 311 genes and as a companion diagnostic to identify patients who may benefit from treatment with specific therapies (listed in Table 1 of the Intended Use) in accordance with the approved therapeutic product labeling. Additional genomic findings may be reported and are not prescriptive or conclusive for labeled use of any specific therapeutic product. Use of the test does not guarantee a patient will be matched to a treatment. A negative result does not rule out the presence of an alteration. Patients who are negative for companion diagnostic mutations should be reflexed to tumor tissue testing and mutation status confirmed using an FDA-approved tumor tissue test, if feasible. For the complete label, including companion diagnostic indications and complete risk information, please visit www.F1LCDxLabel.com

## FOUNDATIONONE®HEME

FoundationOne®Heme is a laboratory developed test that combines DNA sequencing of 406 genes and RNA sequencing of 265 genes for patients with hematologic malignancies, sarcomas or solid tumors where RNA sequencing is desired. The test can be used by physicians to identify potential targeted therapy options, detect alterations in prognostic genes, and sub-classify sarcoma diagnoses. For more information on FoundationOne Heme, please see its Technical Specifications at www.foundationmedicine.com/heme

# **IHC Testing**

Scoring and clone utilization for PD-L1 testing is based on FDA-approved indications. Refer to https://www.foundationmedicine.com/info/detail/ihc-testing for information.

- Dako 22C3 with Combined Positive Score (CPS) scoring (KEYTRUDA®): Cervical Cancer, HNSCC, ESCC, TNBC, Gastric/GEJ Adenocarcinoma
- Dako 22C3 with Tumor Proportion Score (TPS) scoring (KEYTRUDA®, LIBTAYO®): NSCLC
- Dako 28-8 with Tumor Cell Expression scoring (OPDIVO<sup>®</sup>, YERVOY<sup>®</sup>): NSCLC
- VENTANA SP142 with Tumor Cell (TC) and Immune Cell (IC) scoring (TECENTRIQ\*): NSCLC
- VENTANA SP263 with Tumor Cell (TC) scoring (TECENTRIQ<sup>®</sup>, LIBTAYO<sup>®</sup>): NSCLC
- Dako 22C3 with TPS/CPS for other tumors
- VENTANA FOLR1 (ELAHERE<sup>™</sup>): epithelial ovarian, fallopian tube, or primary peritoneal cancer
- VENTANA HER2 (4B5) (Herceptin<sup>®</sup>, KADCYLA<sup>®</sup>, ENHERTU<sup>®</sup>): breast cancer

### CERTIFICATION AND ACCREDITATION

https://www.foundationmedicine.com/resource/licenses

### FACILITY INFORMATION

This information will be used by Foundation Medicine to determine if the test(s) performed may result in a bill that is affected by surprise billing laws.

TEST	CONDITIONS FOR MEDICARE COVERAGE	PATIENT COVERAGE CRITERIA			
FoundationOne <sup>®</sup> CDx	Covered <sup>6</sup> if all patient coverage criteria are met. ABN required for an Original Medicare beneficiary if	<ul> <li>i) Patient has been diagnosed with a solid malignant neoplasm; AND</li> <li>ii) Patient has either recurrent, relapsed, refractory, metastatic, or advanced stage III or IV cancer (only requires one of these to be met); AND</li> </ul>			
FoundationOne®Liquid CDx	they do not meet the patient coverage criteria or if person ordering the test is not a treating physician <sup>7</sup> .	<ul> <li>iii) Patient has not been previously tested with the same test using NGS for the same cancer genetic content<sup>9</sup>; <i>AND</i></li> <li>iv) Patient has decided to seek further cancer treatment (e.g., therapeutic chemotherapy)</li> </ul>			
FoundationOne <sup>®</sup> Heme	Covered <sup>8</sup> if all patient coverage criteria are met. ABN required for an Original Medicare beneficiary if they do not meet the patient coverage criteria or if person ordering the test is not a treating physician <sup>7</sup> .	<ul> <li>i) Patient has been diagnosed with acute myeloid leukemia (AML), myelodysplastic syndrome (MDS) or myeloproliferative neoplasms (MPN); <i>OR</i></li> <li>ii) Patient has a suspected myeloid malignancy with an undefined cytopenia for greater than 4 months, and other possible causes have been reasonably excluded <i>AND (both criteria iii and iv below)</i></li> <li>iii) Patient has not previously received or is not currently receiving NGS testing on the specimen for which the test is currently being ordered iv) Patient has not been tested with the same test for the same genetic content<sup>9</sup></li> </ul>			

#### References

- For the most current information about the therapeutic products in this group, go to: https://www.fda.gov/medical-devices/in-vitro-diagnostics/list-cleared-or-approved-companion-diagnostic-devices-in-vitro-and-imaging-tools
- Please reference the US Food & Drug Administration website for a current list of cleared or approved companion diagnostic devices and associated therapies: https://www.fda.gov/medical-devices/in-vitro-diagnostics/list-cleared-or-approved-companion-diagnostic-devices-in-vitro-and-imaging-tools
- 3. Inclusive of the targeted therapies listed and others for which FoundationOne CDx and/or FoundationOne Liquid CDx may be an FDA-approved companion diagnostic in the future 4. Medicare administered by federal government
- 5. Medicare administered by private insurers.
- 6. Decision Memo for Next Generation Sequencing (NGS) for Medicare Beneficiaries with Advanced Cancer (CAG-00450R reference appendix B).
- 7. A "treating physician" is a physician, as defined in \$1861(r) of the Social Security Act, who furnishes a consultation or treats a beneficiary for a specific medical problem, and

9. Repeat testing (FoundationOne®CDx, FoundationOne®Liquid CDx, or FoundationOne®Heme) after disease progression (i.e., there is evidence of a new malignant growth despite response to a prior targeted therapy) or for additional primary cancer diagnosis may be covered under the NCD for qualifying Medicare beneficiaries.

who uses the results of a diagnostic test in the management of the beneficiary's specific medical problem. More information is available at https://www.cms.gov/Regulations-and-Guidance/Guidance/Transmittals/Downloads/R80BP.pdf.
 8. MoIDx Local Coverage Determination (LCD): Next-Generation Sequencing Lab-Developed Tests for Myeloid Malignancies and Suspected Myeloid Malignancies (L38047).